



AUDIT COMMITTEE REPORT

Report Title	Internal audit progress report
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AGENDA STATUS: PUBLIC

Audit Committee Meeting Date:	26 July 2010
Policy Document:	NO
Directorate:	Finance and Support
Accountable Cabinet Member:	Councillor David Perkins

1. Purpose

1.1 To provide the Audit Committee with a report summarising progress made against the approved internal audit plan.

2. Recommendations

2.1 Receive the report.

3. Issues and Choices

3.1 Report Background

3.1.1 Introduction

The report is produced to inform the Committee on internal audit activity in the current year up to the date of the Committee meeting. The report will give an update on reports issued and recommendations made as well as highlighting any issues that are considered appropriate to bring to the attention of the Committee.

3.1.2 2010/11 Plan Outturn

We have undertaken work in accordance with the 2010/11 Internal Audit Plan which was presented to Audit Committee at its meeting in March 2010

We have completed the fieldwork on both of the reviews for quarter 1, for Voluntary Grants and Community Safety (Licensing).

We have issued the Voluntary Grants report in draft to management:

- The Voluntary Grants review (**10_11 NBC Voluntary Grants 01**) focussed on controls in place over the grant funding provided to voluntary organisations. The review focussed on the application processes, including advertising, accessibility of funding and authorisation of grants awarded. We have not identified any critical or high risk rated issues and have provided a **moderate assurance** draft opinion.

We anticipate issuing the draft report for Community Safety (Licensing) shortly.

Planning has commenced for the quarter 2 audits and we anticipate commencing audit fieldwork for these in early August.

For information we have provided an update in Appendix A regarding the status of recommendations made as part of the 2009/10 audit plan.

3.2 **Issues**

3.2.1 As detailed in the report

3.3 **Choices (Options)**

3.3.1 N/a

4. **Implications (including financial implications)**

4.1 **Policy**

4.1.1 No implications other than enabling monitoring of internal audit reporting performance.

4.2 **Resources and Risk**

4.2.1 Risks may be highlighted as a result of audit issues being reported.

4.3 **Legal**

4.3.1 N/a

4.4 **Equality**

4.4.1 N/a

4.5 **Consultees (Internal and External)**

4.5.1 Director of Finance and Support and Head of Finance

4.6 Other Implications

4.6.1 N/a

5. Background Papers

5.1 Appendices to the report

- Appendix 1 – TeamCentral report summary

Other individual internal audit reports are available if required.

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